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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,405	06/08/2001	Peter R. David	22700-708

CONFIRMATION NO. 7697

FORMALITIES LETTER



OC00000006400064

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/25/2001 SMINASS1 00000003 232415 09877405

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH
02 FC:205 65.00 CH
03 FC:203 153.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$153.
 - \$153 for 17 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 573.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

K

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09077405 091801
"50422860"



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/877,405	06/08/2001	1765	0.00	22700-708	22	37	2

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

CONFIRMATION NO. 7697

FILING RECEIPT



OC00000006400063

DOCKETED

Date Mailed: 08/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Peter R. David, ~~Residence Not Provided~~, -- Palo Alto, CA --
Nathaniel E. David, ~~Residence Not Provided~~, -- San Diego, CA --

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

-- In -- Situ crystal growth and crystallization

Preliminary Class

117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTION
PATENT

In re application of: David, et al.

Group No.: 1765

Serial No.: 09/877,405

Examiner: NA

Filed: June 8, 2001

For: IN SITU CRYSTAL GROWTH AND CRYSTALLIZATION

Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 8, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SUBSTITUTE DRAWINGS

- IV. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84.

SMALL ENTITY STATUS

- V. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$153.00
3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
4. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
5. Total completion fees	\$613.00

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Title	--In-- Situ Crystal Growth and Crystallization
2. Applicant name and/or addresses	-Peter R. David, --Palo Alto, CA--; Nathaniel E. David, --San Diego, CA--

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$613.00 and Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$638.00

PAYMENT OF FEES

- VIII. Charge Account No. 23-2415 (22700-708) in the amount of \$638.00.
Please charge Account No. 23-2415 (22700-708) for any fees that may be due by this paper.

David J. Wertz
David J. Wertz, Reg. No. 38,362

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No.: EL 682 479 689 US) in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: September 18, 2001

Date:

9/18/01

Annette Palladino
Annette Palladino